## SUBCONTRACTING REPORT FOR INDIVIDUAL CONTRACTS (See instructions on reverse)

OMB No.: **9000-0006** Expires: 03/31/98

Public reporting burden for this collection of information is estimated to average 3 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the FAR Secretariat (MVR), Federal Acquisition Policy Division, GSA, Washington, DC 20405.

1. CORPORATION, COMPANY OR SUBDIVISION COVERED				3. DATE SUBMITTED			
a. COMPANY NAME							
b. STREET ADDRESS				4. REPORTING PERIOD FROM INCEPTION OF CONTRACT THRU:			
c. CITY d. STATE e. ZIP CODE				MAR 31	SEPT 30 YEAR		
d. STATE   6. ZII CODE			F		5. TYPE OF REPORT		
2. CONTRACTOR IDENTIFICATION NUMBER							
				REGULAR	FINAL RI	EVISED	
6. ADMINISTERING ACTIVITY (Please check applicable box)							
ARMY GSA NASA							
NAVY DOE				OTHER FEDERAL AGENCY (Specify)			
AIR FORCE DEFENSE LOGISTICS AGENCY							
7. REPORT SUBMITTED AS (Check one and provide appropriate number)				8. AGENCY OR CONTRACTOR AWARDING CONTRACT			
PRIME CONTRACT NUMBER			a. AGENCY'S OR CONTRACTOR'S NAME				
PRIME CONTRACTOR							
SUBCONTRACT NUMBER			b. STREET ADDRESS				
9. DOLLARS AND PERCENTAGES IN THE FOLLOWING BLOCKS:			c. CITY d. STATE e. ZIP CODE				
DO INCLUDE INDIRECT COSTS DO NOT INCLUDE INDIRECT COSTS							
SUE	BCONTRA	ACT AWARI	DS				
ТҮРЕ		CU	RRENT	GOAL	ACTUAL CUMULATIVE		
		WHOLE DO	LLARS	PERCENT	WHOLE DOLLARS	PERCENT	
10a. SMALL BUSINESS CONCERNS (IncludeSDB, WOSB, HBCU/MI) (DollarAmountand Percentof 10c.)	,						
10b. LARGE BUSINESS CONCERNS (Dollar Amount and Percent of 10c.)							
10c. TOTAL (Sumof 10a and 10b.)				100.0%		100.0%	
11. SMALL DISADVANTAGED (SDB) CONCERNS (Include HBCU/MI) (DollarAmountand Percentof 10c.)							
12. WOMEN-OWNED SMALL BUSINESS (WOSB) CONCERNS (Dollar Amount and Percent of 10c.)							
13. REMARKS		1.		1			
14a. NAME OF INDIVIDUAL ADMINISTERING SUBCONTRACTING PLAN				14b. TELEPHONE NUMBER			
				AREA CODE	NUMBER		

- 1. This report is not required from small businesses.
- 2. This report is not required for commercial products for which a company-wide annual plan (i.e., a Commercial Products Plan) has been approved, nor from large businesses in the Department of Defense (DOD) Test Program for Negotiation of Comprehensive Subcontracting Plans. The Summary Subcontract Report (SF 295) is required for contractors operating under one of these two conditions and should be submitted to the Government in accordance with the instructions on that form.
- 3. This form collects subcontract award data from prime contractors/subcontractors that: (a) hold one or more contracts over \$500,000 (over \$1,000,000 for construction of a public facility); and (b) are required to report subcontracts awarded to Small Business (SB), Small Disadvantaged Business (SDB), and Women-Owned Small Business (WOSB) concerns under a subcontracting plan. For the Department of Defense (DOD), the National Aeronautics and Space Administration (NASA), and the Coast Guard, this form also collects subcontract award data for Historically Black Colleges and Universities (HBCUs) and Minority Institutions (MIs) .
- 4. This report is required for each contract containing a subcontracting plan and must be submitted to the administrative contracting officer (ACO) or contracting officer if no ACO is assigned, semi-annually during contract performance for the periods ended March 31st and September 30th. A separate report is required for each contract at contract completion. Reports are due 30 days after the close of each reporting period unless otherwise directed by the contracting officer. Reports are required when due, regardless of whether there has been any subcontracting activity since the inception of the contract or since the previous report.
- 5. Only subcontracts involving performance within the U.S., its possessions, Puerto Rico, and the Trust Territory of the Pacific Islands should be included in this report.
- 6. Purchases from a corporation, company, or subdivision that is an affiliate of the prime/subcontractor are not included in this report.
- 7. Subcontract award data reported on this form by prime contractors/subcontractors shall be limited to awards made to their immediate subcontractors.

  Credit cannot be taken for awards made to lower tier subcontractors.

#### SPECIFIC INSTRUCTIONS

- BLOCK 2: For the Contractor Identification Number, enter the nine-digit Data Universal Numbering System (DUNS) number that identifies the specific contractor establishment. If there is no DUNS number availble that identifies the exact name and address entered in Block 1, contact Dun and Bradstreet Information Services at 1-800-333-0505 to get one free of charge over the telephone. Be prepared to provide the following information: (1) Company name; (2) Company address; (3) Company telephone number; (4) Line of business; (5) Chief executive officer/key manager; (6) Date the company was started; (7) Number of people employed by the company; and; (8) Company affiliation.
- **BLOCK 4:** Check only one. Note that all subcontract award data reported on this form represents activity since the inception of the contract through the date indicated in this block.
- **BLOCK 5:** Check whether this report is a "Regular," "Final," and/or "Revised" report. A "Final" report should be checked only if the contractor has completed the contract or subcontract reported in Block 7. A "Revised" report is a change to a report previously submitted for the same period.
- **BLOCK 6**: Identify the department or agency administering the majority of subcontracting plans.
- **BLOCK 7:** Indicate whether the reporting contractor is submitting this report as a prime contractor or subcontract or subcontract number.
- **BLOCK 8:** Enter the name and address of the Federal department or agency awarding the contract or the prime contractor awarding the subcontract.
- **BLOCK 9:** Check the appropriate block to indicate whether indirect costs are included in the dollar amounts in blocks 10a through 12. **To ensure comparability between the goal and actual columns, the contrac-**

tor may include indirect costs in the actual column only if the subcontracting plan included indirect costs in the goal.

BLOCKS 10a through 12: Under "Current Goal," enter the dollar and percent goals in each category (SB, SDB, and, WOSB) from the subcontracting plan approved for this contract. (If the original goals agreed upon at contract award have been revised as a result of contract modifications, enter the original goals in Block 13. The amounts entered in Blocks 10a through 12 should reflect the revised goals.)

Under "Actual Cumulative," enter actual subcontract achievements (dollar and percent) from the inception of the contract through the date of the report shown in Block 4. In cases where indirect costs are included, the amounts should include both direct awards.

BLOCK 10a: Report all subcontracts awarded to SBs including subcontracts to SDBs and WOSBs. For DOD, NASA, and Coast Guard contracts, include subcontracting awards to HBCUs and Mls.

**BLOCK 10b**: Report all subcontracts awarded to large businesses (LBs).

**BLOCK 10c:** Report on this line the total of all subcontracts awarded under this contract (the sum of lines 10a and 10b).

BLOCKS 11 and 12: Each of these items is a subcategory of Block 10a. Note that in some cases the same dollars may be reported in both Block 11 and Block 12 (i.e., SDBs owned by women).

**BLOCK 11:** Report all subcontracts awarded to SDBs (including women-owned SDBs). For DOD, NASA, and Coast Guard contracts, include subcontract awards to HBCUs and MIs.

**BLOCK 12:** Report all subcontracts awarded to Women-Owned firms (including SDBs owned by women).

**BLOCK 13:** Enter a short narrative explanation if (a) SB, SDB, or WOSB accomplishments fall below that which would be expected using a straight-line projection of goals through the period of contract performance; or (b) if this is a final report, any one of the three goals was not met.

# SPECIAL INSTRUCTIONS FOR COMMERCIAL PRODUCTS PLANS DEFINITIONS

- 1. Commercial products means products sold in substantial quantities to the general public and/or industry at established catalog or market prices.
- 2. Subcontract means a contract, purchase order, amendment, or other legal obligation executed by the prime contractor/subcontractor calling for supplies or services required for the performance of the original contract or subcontract.
- 3. Direct Subcontract Awards are those that are identified with the performance of one or more specific Government contract(s).
- 4. Indirect costs are those which, because of incurrence for common or joint purposes, are not identified with specific Government contracts; these awards are related to Government contract performance but remain for allocation after direct awards have been determined and identified to specific Government contracts.

#### DISTRIBUTION OF THIS REPORT

#### For the Awarding Agency or Contractor:

The original copy of this report should be provided to the contracting officer at the agency or contractor identified in Block 8. For contracts with DOD, a copy should also be provided to the Defense Logistics Agency (DLA) at the cognizant Defense Contract Management Area Operations (DCMAO) office.

### For the Small Business Administration (SBA):

A copy of this report must be provided to the cognizant Commerical Market Representative (CMR) at the time of a compliance review. It is NOT necessary to mail the SF 294 to SBA unless specifically requested by the CMR.